

**ILLINOIS DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION (IDFPR)
DIVISION OF REAL ESTATE (DRE)**

AUCTION ADVISORY BOARD

OPEN MINUTES

Date: February 23, 2021

Call to Order: 11:05 a.m. – Thomas Walsh - Chairperson

Location: Illinois Department of Financial and Professional Regulation (IDFPR)/Division of Real Estate (DRE)
Remotely via interactive webinar and/or telephonically in accordance with provisions of Governor JB Pritzker’s Executive Order 2020-07 issued on March 16, 2020.

Board Members Present Jessica Doerr-Berger, Kelly T. Frank, Gwendolene Newton, Brian A. Swartz, Thomas E. Walsh

Board Member Not Present Carol Spracklen

Staff Members Present Adrienne Levatino – Associate General Counsel, Jeremy Reed - Chief of Licensing and Education, Ericka Johnson – Chief of Prosecutions, Hector Rodriguez – Chief of Investigations, Donielle Walters – Policy Manager, Debra Malinowski – Real Estate Administration and Disciplinary Board Liaison, Susan Sigourney – Auction Board Liaison

TOPIC	DISCUSSION	ACTION
<p>Call to Order</p>	<p>Chairperson Thomas Walsh called the meeting to order and he read a mandatory statement regarding the Open Meetings Act. <i>This meeting is being conducted by audio or video conference without the physical presence or a quorum of the members because the Governor of the State of Illinois has issued a disaster declaration related to public health concerns and an in-person meeting is not practical or prudent because of the disaster described in that declaration.</i></p> <p>Thomas Walsh explained the Board must take a roll call vote for each motion made during the meeting.</p>	<p>The meeting was called to order at 11:05 a.m.</p>
<p>Review and Approval of Open Minutes:</p>	<p>The Board reviewed the Open Minutes from the December 15, 2020 Auction Advisory Board meeting.</p> <p style="text-align: center;">Roll Call Vote Taken: Jessica Doerr-Berger – yes Kelly T. Frank – yes Gwendolene Newton – yes Brian A. Swartz – yes Thomas Walsh - yes</p>	<p>A motion was made by Swartz and seconded by Jessica Doerr-Berger to approve the Open Minutes as presented from the December 15, 2020 Auction Advisory Board meeting. The motion carried by roll call vote.</p>
<p>Public Comments</p>	<p>There were no public comments.</p>	
<p>Licensing and Education Report</p>	<p>The 2021 Licensing Report for activity conducted in January was presented and discussed. A copy of the report is attached to and made part of these minutes. Jeremy Reed discussed the Auction License Report.</p>	

	<p>Jeremy Reed explained his staff continues working remotely from their homes due to the COVID – 19 Pandemic. Mr. Reed informed the Board that his staff has been productive working from home for almost a year now.</p> <p>Mr. Reed explained that the exam pass rate is 86%.</p>	
Investigations Report	<p>The 2021 Auction Investigations Report through the month of January was presented and distributed. A copy of the report is attached to and made a part of these minutes.</p> <p>Hector Rodriguez informed the Board his staff is also continuing to work mostly remotely from home.</p>	
Prosecutions Report	<p>The 2021 Auction Prosecutions Report through the month of January was presented and distributed. A copy of the report is attached to and made part of these minutes.</p> <p>Ericka Johnson explained things are going well in Prosecutions. Ms. Johnson mentioned there are only six open auction cases at this time.</p>	
Formal Hearing Schedule	There were no formal hearings scheduled.	
Old Business	There was no old business to discuss.	
New Business:	There was no new business to discuss.	
Motion to go into Closed Session	<p>Roll Call Vote taken: Jessica Doerr-Berger- yes Kelly T. Frank -yes Gwendolene Newton – yes Brian A. Swartz- yes Thomas Walsh - yes</p>	<p>A motion was made by Swartz and seconded by Doerr-Berger to go into Closed Session pursuant to Section 2 (c) (4) and (15) of the Open Meetings Act at</p>

		11:13 a.m. The motion carried by roll call vote.
Closed Session	The Board reviewed the December 15, 2020 Closed Minutes The Board deliberated on pending enforcement actions.	
Motion to go into Open Session	Roll Call Vote taken: Jessica Doerr-Berger- yes Kelly T. Frank -yes Gwendolene Newton – yes Brian A. Swartz- yes Thomas Walsh - yes	A motion was made by Doerr-Berger and seconded by Frank to go into Open Session at 11:21 a.m. The motion carried by roll call vote.
Approval of December 15, 2020 Closed Minutes	Roll Call Vote taken: Jessica Doerr-Berger- yes Kelly T. Frank -yes Gwendolene Newton – yes Brian A. Swartz- yes Thomas Walsh - yes	A motion was made by Swartz and seconded by Doerr-Berger to approve the Auction Advisory Board Closed Minutes from the December 15, 2020 minutes. The motion carried by roll call vote.
Recommendations	Roll Call Vote taken: Jessica Doerr-Berger- yes Kelly T. Frank -yes Gwendolene Newton – yes Brian A. Swartz- yes Thomas Walsh - yes	A motion was made by Doerr-Berger and seconded by Swartz to ratify the Board’s actions taken in Closed Session. The motion carried by roll call vote.
The Board signed No Findings of Facts, Conclusions of Law and Recommendations to the Director	There were no cases for the Board to deliberate during Closed Session	
Orders	2 Consent Orders were reviewed and discussed in Closed Session.	The Board signed 2 Consent Orders.

	<p>The Board received a report of the final actions by the Director of the following Consent Orders previously signed by the Board:</p> <p>Kevin Kellermann/Rasdale Stamp Company #2018-19402</p> <p>Carl John Radde/Corporate Auction Group #2019-10228</p>	
	<p>The next meeting scheduled for April 27, 2021 may be held remotely via interactive webinar and/or telephonically in accordance with provisions of Governor JB Pritzker's Executive Order 2020-07 issued on March 16, 2020.</p>	<p>The next Auction Advisory Board meeting is scheduled for April 27, 2021.</p>
<p>Adjournment</p>	<p>Roll Call Vote taken:</p> <p>Jessica Doerr-Berger- yes</p> <p>Kelly T. Frank -yes</p> <p>Gwendolene Newton – yes</p> <p>Brian A. Swartz- yes</p> <p>Thomas Walsh - yes</p>	<p>There being no further business to discuss, a motion was made by Doerr-Berger and seconded by Frank to adjourn at 11:25 a.m. The motion carried by roll call vote.</p>

Licensing Report

Prepared by Jeremy N Reed

YTD - thru January 31, 2020

Filtered By

- Board = AUCTIONEER
- START DATE = 01/01/2021
- END DATE = 01/31/2021

2/2/2021 at 9:50:50 AM

Profession	ProfessionDescription	Sponsor_Chg	Applications	Initial_lic	Transitions	Renewals	Active_Licenses
440	Licensed Auctioneer	1	0	0	0	28	336
441	Licensed Auctioneer	0	2	3	0	73	834
444	Licensed Auction Firm	0	3	3	0	9	184
445	Licensed Auction CE School	0	0	0	0	0	5
446	Licensed Auction CE Course	0	0	0	0	0	44
Totals		1	5	6	0	110	1,403

Illinois Auctioneer Examination

	ALL EXAMINEES		REPEATERS		FIRST TIMERS	
TOTAL NUMBER TESTED	7		4		3	
TOTAL NUMBER PASSED	6	85.71 %	4	100.00 %	2	66.67 %
TOTAL NUMBER FAILED	1	14.29 %	0	0.00 %	1	33.33 %

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