

**ILLINOIS DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION (IDFPR)
DIVISION OF REAL ESTATE (DRE)**

AUCTION ADVISORY BOARD

OPEN MINUTES

Date: April 27, 2021

Call to Order: 11:02 a.m. – Jessica Doerr-Berger - Acting Chairperson

Location: Illinois Department of Financial and Professional Regulation (IDFPR)/Division of Real Estate (DRE)
Remotely via interactive webinar and/or telephonically in accordance with provisions of Governor JB Pritzker’s Executive Order 2020-07 issued on March 16, 2020.

Board Members Present Jessica Doerr-Berger, Kelly T. Frank, Gwendolene Newton, Carol Spracklen

Board Member Not Present Brian A. Swartz, Thomas Walsh

Staff Members Present Laurie Murphy-Acting Director of the Division of Real Estate, Adrienne Levatino – Associate General Counsel, Jeremy Reed - Chief of Licensing and Education, Ericka Johnson – Chief of Prosecutions, Hector Rodriguez – Chief of Investigations, Donielle Walters – Policy Manager, Debra Malinowski – Real Estate Administration and Disciplinary Board Liaison, Susan Sigourney – Auction Board Liaison

TOPIC	DISCUSSION	ACTION
<p>Call to Order</p>	<p>Acting Chairperson Jessica Doerr-Berger called the meeting to order and she read a mandatory statement regarding the Open Meetings Act.</p> <p><i>This meeting is being conducted by audio or video conference without the physical presence or a quorum of the members because the Governor of the State of Illinois has issued a disaster declaration related to public health concerns and an in-person meeting is not practical or prudent because of the disaster described in that declaration.</i></p> <p>Jessica Doerr-Berger explained the Board must take a roll call vote for each motion made during the meeting.</p> <p>Jessica Doerr-Berger introduced Laurie Murphy. Laurie Murphy informed the Board that she is honored to have been appointed by Governor Pritzker as the new Acting Director of the Division of Real Estate. Laurie Murphy explained she is taking Mario Treto Jr.'s place since Mario Treto Jr. has been appointed by Governor Pritzker as the new Acting Secretary of the entire Department of Financial and Professional Regulation. Laurie Murphy thanked the Board for their service, and she looks forward to building on the accomplishments that Director Treto has achieved through his leadership and dedication to the Division of Real Estate.</p>	<p>The meeting was called to order at 11:02 a.m.</p>
<p>Review and Approval of Open Minutes:</p>	<p>The Board reviewed the Open Minutes from the February 23, 2021 Auction Advisory Board meeting.</p>	<p>A motion was made by Frank and seconded by Jessica Doerr-Berger to approve the Open Minutes as presented from the February 23, 2021 Auction Advisory Board meeting. The motion carried by roll call vote.</p>

	<p>Roll Call Vote Taken: Jessica Doerr-Berger – yes Kelly T. Frank – yes Gwendolene Newton – yes Carol Spracklen - yes</p>	
Public Comments	There were no public comments.	
Licensing and Education Report	<p>The 2021 Licensing Report for activity conducted in March was presented and discussed. A copy of the report is attached to and made part of these minutes. Jeremy Reed discussed the Auction License Report.</p> <p>Jeremy Reed explained his staff continues working remotely from their homes due to the COVID – 19 Pandemic. Mr. Reed informed the Board that his staff has been productive working from home for almost a year now. Mr. Reed explained we have received 25 auctioneer applications this year and we have issued 22 at this time. Mr. Reed said we continue to work with PSI to improve results.</p>	
Investigations Report	<p>The 2021 Auction Investigations Report through the month of March was presented and distributed. A copy of the report is attached to and made a part of these minutes.</p> <p>Mr. Rodriguez explained there are 29 Auction cases pending in Investigations and we have received one new Auction case in March.</p>	
Prosecutions Report	<p>The 2021 Auction Prosecutions Report through the month of March was presented and distributed. A copy of the report is attached to and made part of these minutes.</p> <p>Ms. Johnson explained there are 4 cases pending in Prosecutions.</p> <p>Ms. Johnson also mentioned the Prosecutions section continues to work from home.</p>	

Formal Hearing Schedule	There were no formal hearings scheduled.	
Old Business	There was no old business to discuss.	
New Business:	There was no new business to discuss.	
Motion to go into Closed Session	<p>Roll Call Vote taken: Jessica Doerr-Berger- yes Kelly T. Frank -yes Gwendolene Newton – yes Carol Spracklen- yes</p>	A motion was made by Newton and seconded by Frank to go into Closed Session pursuant to Section 2 (c) (4) and (15) of the Open Meetings Act at 11:14 a.m. The motion carried by roll call vote.
Closed Session	<p>The Board reviewed the February 23, 2021 Closed Minutes</p> <p>The Board deliberated on pending enforcement actions.</p>	
Motion to go into Open Session	<p>Roll Call Vote taken: Jessica Doerr-Berger- yes Kelly T. Frank -yes Gwendolene Newton – yes Carol Spracklen- yes</p>	A motion was made by Frank and seconded by Spracklen to go into Open Session at 11:18 a.m. The motion carried by roll call vote.
Approval of February 23, 2021 Closed Minutes	<p>Roll Call Vote taken: Jessica Doerr-Berger- yes Kelly T. Frank -yes Gwendolene Newton – yes Carol Spracklen - yes</p> <p>Roll Call Vote taken: Jessica Doerr-Berger- yes</p>	<p>A motion was made by Frank and seconded by Newton to approve the Auction Advisory Board Closed Minutes from the February 23, 2021 minutes. The motion carried by roll call vote.</p> <p>A motion was made by Frank and seconded by</p>

<p>Recommendations</p> <p>The Board signed No Findings of Facts, Conclusions of Law and Recommendations to the Director</p> <p>Orders</p>	<p>Kelly T. Frank -yes Gwendolene Newton – yes Carol Spracklen - yes</p> <p>There were no cases for the Board to deliberate during Closed Session.</p> <p>1 Consent Order was reviewed and discussed in Closed Session.</p>	<p>Spracklen to ratify the Board’s actions taken in Closed Session. The motion carried by roll call vote.</p> <p>The Board signed 1 Consent Order.</p>
	<p>The next meeting scheduled for June 22, 2021 may be held remotely via interactive webinar and/or telephonically in accordance with provisions of Governor JB Pritzker’s Executive Order 2020-07 issued on March 16, 2020.</p>	<p>The next Auction Advisory Board meeting is scheduled for June 22, 2021.</p>
<p>Adjournment</p>	<p>Roll Call Vote taken: Jessica Doerr-Berger- yes Kelly T. Frank -yes Gwendolene Newton – yes Carol Spracklen- yes</p>	<p>There being no further business to discuss, a motion was made by Frank and seconded by Spracklen to adjourn at 11:21 a.m. The motion carried by roll call vote.</p>

Licensing Report

YTD - thru April 15, 2021

Prepared by Jeremy N Reed

Filtered By

- Board = AUCTIONEER
- START DATE = 01/01/2021
- END DATE = 04/15/2021

4/19/2021 at 11:46:43 AM

Profession	ProfessionDescription	Sponsor_Chg	Applications	Initial_lic	Transitions	Renewals	Active_Licenses
440	Licensed Auctioneer	1	0	0	0	41	283
441	Licensed Auctioneer	4	17	15	0	91	637
444	Licensed Auction Firm	2	8	7	0	17	161
445	Licensed Auction CE School	0	0	0	0	0	5
446	Licensed Auction CE Course	0	0	0	0	0	44
Totals		7	25	22	0	149	1,130

Illinois Auctioneer Examination

	ALL EXAMINEES		REPEATERS		FIRST TIMERS	
TOTAL NUMBER TESTED	21		6		15	
TOTAL NUMBER PASSED	17	80.95 %	6	100.00 %	11	73.33 %
TOTAL NUMBER FAILED	4	19.05 %	0	0.00 %	4	26.67 %

AUCTION INVESTIGATIONS REPORT

MARCH 2021

	Pending Auction Cases	CASES OVER 5 MONTHS OLD	New Auction Cases Received	Referred to Prosecutions	Recommended for Closure	Closed at Complaint
JANAURY	26	22	2	2	0	0
FEBRUARY	31	21	6	1	0	0
MARCH	29	19	1	0	3	0
APRIL						
MAY						
JUNE						
JULY						
AUGUST						
SEPTEMBER						
OCTOBER						
NOVEMBER						
DECEMBER						
TOTAL			9	3	3	0

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**AUCTION INVESTIGATIONS REPORT
MARCH 2021**



AUCTION PROSECUTIONS REPORT 2021

March

	Pending Open Cases	Formal Complaints Filed	New Cases Rec'd / reopen	3 months or less	cases over 3 months	cases over 6 months	cases over 9 months	cases over 12 months	cases over 24 months	CLOSED	CLOSED Admin	CLOSED Admin Warn Letter	Closed CE with memo	CLOSED Formal Order	CLOSED: Consent Order	: Non-Disc Order	IL Inc Tax	Child Support	Assign to General Counsel
JANUARY	6	0	2	2	3	1	0	0	0	1	0	0	0	0	0	0	0	0	1
FEBRUARY	7	0	1	3	3	1	0	0	0	0	0	0	0	0	0	0	0	0	0
MARCH	4	1	0							3	0	1	0	0	2	0	0	0	0
APRIL										0									
MAY										0									
JUNE										0									
JULY										0									
AUGUST										0									
SEPTEMBER										0									
OCTOBER										0									
NOVEMBER										0									
DECEMBER										0									
TOTAL		1	3							4	0	1	0	0	2	0	0	0	1