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JB PRITZKERMARIO TRETO, JR.LAURIE MURPHYGovernorSecretaryDirector

ILLINOIS DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION (IDFPR) DIVISION OF REAL ESTATE (DRE)

AUCTION ADVISORY BOARD

OPEN MINUTES October 25, 2022 Date: Call to Order: 11:02 a.m. – Jessica Doerr-Berger Illinois Department of Financial and Professional Regulation (IDFPR)/Division of Real Estate (DRE) This meeting was held remotely via interactive webinar and/or Location: telephonically because the Governor of the State of Illinois has issued a disaster declaration related to public health concerns and an in-person meeting is not practical or prudent because of the disaster described in that declaration, and because the Secretary of the Illinois Department of Financial and Professional Regulation has determined pursuant to the provisions of Section 7 of the Open Meetings Act that an in-person meeting is not practical or prudent because of a disaster. **Board Members Present:** Jessica Doerr-Berger, Kelly Frank, Gwendolene Newton, and Carol Spracklen **Board Members Not Present:** Michael Fine and Thomas Walsh **Staff Members Present:** Adrienne Levatino - Associate General Counsel, Jeremy Reed -Chief of Licensing and Education, Geetu Naik – Chief of Prosecutions, Hector Rodriguez – Chief of Investigations, Jennifer Rossiter Moreno – Division of Real Estate Operations Manager, Debra Malinowski – Real Estate Administration and Disciplinary Board Liaison, Susan Sigourney – Auction Board Liaison

TOPIC	DISCUSSION	ACTION
Call to Order	Jessica Doerr-Berger called the meeting to order and she read a mandatory statement regarding the Open Meetings Act. This meeting is being conducted by audio or video conference without the physical presence of a quorum of the members because the Governor of the State of Illinois has issued a disaster declaration related to public health concerns and an in-person meeting is not practical or prudent because of the disaster described in that declaration. This meeting is further being conducted by audio or videoconference because the Secretary of the Illinois Department of Financial and Professional Regulation has determined pursuant to the provisions of Section 7 of the Open Meetings Act that an in-person meeting is not practical or prudent because of a disaster. All votes will be conducted by roll call, so each member's vote on each issue can be identified and recorded. Attendance Taken: Jessica Doerr-Berger – present Kelly Frank – present Gwendolene Newton – yes Carol Spracklen - yes Adrienne Levatino informed the Board that Brian Swartz has resigned from his position on the Auction Advisory Board. Ms. Levatino said we will miss him, and we appreciate his service to the Board. Adrienne Levatino introduced the newest member of our Division of Real Estate Executive staff, Jennifer Rossiter-Moreno. Ms. Levatino explained Ms. Rossiter-Moreno is our Division of Real Estate Operations Manager and we are very happy to have her. Jessica Doerr-Berger welcomed Jennifer and Ms. Rossiter-Moreno introduced herself to the Board.	The meeting was called to order at 11:02 a.m.

Review and Approval of Open Minutes:	The Board reviewed the Open Minutes from the August 23, 2022, Auction Advisory Board meeting. Roll Call Vote Taken: Jessica Doerr-Berger - yes Kelly Frank - yes Gwendolene Newton – yes Carol Spracklen -	A motion was made by Newton and seconded by Spracklen to approve the Open Minutes with one amendment from the August 23, 2022, Auction Advisory Board meeting. The motion carried by roll call vote.
Public Comments	There were no members of the public present.	
Licensing and Education Report	The 2022 Licensing Report for activity conducted in September was presented and discussed. A copy of the report is attached to and made part of these minutes. Mr. Reed informed the Board the Auction renewal is open and available through the online portal at this time. Mr. Reed also mentioned if any auctioneers have issues logging in to their online portals when renewing their license, please send an email to FPR.REALESTATE@illinois.gov and our Division will be able to assist the auctioneer with their renewal. Mr. Reed mentioned paper renewals are also available on the IDFPR website.	
Investigations Report	The 2022 Auction Investigations Report through the month of September was presented and distributed. A copy of the report is attached to and made a part of these minutes.	

	Hector Rodriguez, the Chief of Investigations, explained there are two Investigator positions open at this time.	
Prosecutions Report	The 2022 Auction Prosecutions Report through the month of September was presented and distributed. A copy of the report is attached to and made part of these minutes.	
Formal Hearing Schedule	There were no formal hearings scheduled.	
Old Business	There was no old business to discuss.	
New Business:		
Elect a Chairperson	Kelly Frank nominated Jessica Doerr-Berger as the Chairperson of the Auction Advisory Board. Roll Call Vote taken: Jessica Doerr-Berger – yes Kelly T. Frank – yes Gwendolene Newton – yes Carol Spracklen - yes	A nomination was made by Frank and seconded by Spracklen to have Jessica Doerr-Berger assume the Charimanship of the Auction Board. The motion carried by roll call vote.
2023 Proposed Auction Board meeting dates	Jessica Doerr-Berger asked if any of the Board members had any issues with the 2023 Auction Board meeting dates. No one had an issue with this schedule.	
2022 Annual Mandatory Training Courses	Adrienne Levatino explained that the Board members are required to take the 2022 Mandatory Training Courses:	
	 Ethics Training Program for State Employees and Appointees Harassment and Discrimination Prevention Training 	

	Diversity, Equity, and InclusionSecurity Awareness Training	
	Susan Sigourney asked the Board to contact her if they have any issues logging in or finding the courses to take.	
Mandatory Open Meetings Act Training	Adrienne Levatino explained the Open Meetings Act Training is also required, but if the Board members have already taken this course, it is not necessary to take it again.	
Motion to go into Closed Session	Roll Call Vote taken: Jessica Doerr-Berger – yes Kelly Frank - yes Gwendolene Newton – yes Carol Spracklen - yes	A motion was made by Spracklen seconded by Newton to go into Closed Session pursuant to Section 2 (c) (4) and (15) of the Open Meetings Act at 11:22 a.m. The motion carried by roll call vote.
Closed Session	The Board reviewed the August 23, 2022, Closed Minutes. There were no pending enforcement actions for the Board to review.	
Motion to go into Open Session	Roll Call Vote taken: Jessica Doerr-Berger - yes Kelly Frank - yes Gwendolene Newton – yes Carol Spracklen - yes	A motion was made by Spracklen and seconded by Frank to go into Open Session at 11:24 a.m. The motion carried by roll call vote.
Approval of August 23, 2022, Closed Minutes	Roll Call Vote taken: Jessica Doerr-Berger - yes Kelly Frank – yes Gwendolene Newton – yes Carol Spracklen - yes	A motion was made by Newton and seconded by Spracklen to approve the Auction Advisory Board Closed Minutes from the August 23, 2022, minutes. The motion carried by roll call vote.

Recommendations The Board signed no Findings of Fact, Conclusions of Law and Recommendations to the Director	There were no cases for the Board to deliberate during Closed Session.	
Orders	There were no Consent Orders for the Board to discuss in Closed Session. The Board received a report of the final actions by the Director of the following Consent Order previously signed by the Board: 2021-12155 Pavel Rakityanskiy PBM Trading Inc.	
Adjournment	Roll Call Vote taken: Jessica Doerr-Berger - yes Michael Fine – yes Gwendolene Newton – yes Carol Spracklen - abstained The next meeting is scheduled for December 20, 2022.	There being no further business to discuss, a motion was made by Newton and seconded by Frank to adjourn at 11:25 a.m. The motion carried by roll call vote.

Licensing Report

Prepared by Jeremy N Reed

- Filtered By

 Board = AUCTIONEER

 START DATE = 01/01/2022

 END DATE = 10/15/2022

10/17/2022 at 2:48:37 PM

Profession	ProfessionDescription	Sponsor_Chg	Applications	Initial_lic	Transitions	Renewals	Active_Licenses						
440	Licensed Auctioneer	0	1	1	0	10	286						
441	Licensed Auctioneer	5	45	45	0	45	767						
444	Licensed Auction Firm	2	15	15	0	20	188						
445	Licensed Auction CE School	0	1	0	0	1	5						
446	Licensed Auction CE Course	0	10	7	0	10	48						
	Totals	7	72	68	0	86	1,294						

From: 9/1/2022 To: 9/30/2022

	From: 9/1/2022 10: 9/30/2022					
		Pa	ss	Fa	ail	Total
		N	%	N	%	N
IL Auctioneer Examination	First Time	0	0.00	1	100.00	1
	Repeat	2	100.00	0	0.00	2
	Total	3	60.00	2	40.00	5



August 2022 Investigations Report

Column1	Pending/Op en RE Cases	3 months	AU Cases Over 3 months	AU Cases Over 6 months	AU Cases over 9 months	AU Cases over 12 months	AU Cases Over 24 months	New Assigned to Investigations AU Cases Received	AU Cases Referred to Pros	AU Cases Closed	
January	44	4	3	6	10	7	14	4	0	0	
February	45	2	3	8	7	11	14	1	0	0	
March	45	2	3	5	8	13	14	1	1	0	
April	44	1	4	5	3	16	15	0	0	1	
May	44	1	3	5	4	16	15	1	1	0	
June	44	2	2	3	5	17	15	1	1	0	
July	35	3	2	3	5	15	7	2	2	9	
August	34	1	2	4	3	16	8	0	0	1	
September	35	2	2	4	3	16	8	1	0	0	
October											
November											
December											
Total								11	5	11	

AUCTION PROSECUTIONS REPORT 2022

September

	Pending Open Cases	Formal Complaints Filed	New Cases Rec'd / reopen		cases over 3 months	cases over 6 months			cases over 24 months	CLOSED	CLOSED Admin	CLOSED Admin Warn Letter	Closed CE with memo	CLOSED Formal Order	CLOSED: Consent Order	CLOSED: Non- Disc Order	IL Inc Tax	Child Support	Motion for Rehearing filed
JANUARY	1	0	0	0	1	0	0	0	0	2	1	0	0	0	1	0	0	0	0
FEBRUARY	1	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
MARCH	2	0	1	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
APRIL	2	0	0	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
MAY	3	1	1	1	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0
JUNE	4	0	1	2	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0
JULY	6	1	2	3	2	0	1	0	0	0	0	0	0	0	0	0	0	0	0
AUGUST	3	0	0	0	2	0	1	0	0	3	2	0	0	0	1	0	0	0	0
SEPTEMBER	4	0	1	1	2	0	1	0	0	0	0	0	0	0	0	0	0	0	0
OCTOBER										0									
NOVEMBER										0									
DECEMBER										0									
TOTAL		2	6							5	3	0	0	0	2	0	0	0	0