ILLINOIS DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION (IDFPR) DIVISION OF REAL ESTATE (DRE)

AUCTION ADVISORY BOARD

OPEN MINUTES

Date:	February 22, 2022
Call to Order:	11:00 a.m. – Thomas Walsh - Chairperson
	Illinois Department of Financial and Professional Regulation (IDFPR)/Division of Real Estate (DRE)
Location:	This meeting was held remotely via interactive webinar and/or telephonically because the Governor of the State of Illinois has issued a disaster declaration related to public health concerns and an in-person meeting is not practical or prudent because of the disaster described in that declaration, and because the Secretary of the Illinois Department of Financial and Professional Regulation has determined pursuant to the provisions of Section 7 of the Open Meetings Act that an in-person meeting is not practical or prudent because of a disaster.
Board Members Present:	Jessica Doerr-Berger, Kelly T. Frank, Gwendolene Newton, Carol Spracklen, Brian A. Swartz, Thomas Walsh
Staff Members Present:	Adrienne Levatino – Associate General Counsel, Jeremy Reed - Chief of Licensing and Education, Geetu Naik – Chief of Prosecutions, Debra Malinowski – Real Estate Administration and Disciplinary Board Liaison, Susan Sigourney – Auction Board Liaison

TOPIC	DISCUSSION	ACTION				
Call to Order	Chairperson Thomas Walsh called the meeting to order and he read a mandatory statement regarding the Open Meetings Act. This meeting is being conducted by audio or video conference without the physical presence of a quorum of the members because the Governor of the State of Illinois has issued a disaster declaration related to public health concerns and an in-person meeting is not practical or prudent because of the disaster described in that declaration. This meeting is further being conducted by audio or videoconference because the Secretary of the Illinois Department of Financial and Professional Regulation has determined pursuant to the provisions of Section 7 of the Open Meetings Act that an in-person meeting is not practical or prudent because of a disaster. All votes will be conducted by roll call, so each member's vote on each issue can be identified and recorded. Attendance Taken: Jessica Doerr-Berger – present Kelly T. Frank – present Gwendolene Newton – present Carol Spracklen – present Brian Swartz – present Thomas Walsh - present	The meeting was called to order at 11:00 a.m.				
Review and Approval of Open Minutes:	The Board reviewed the Open Minutes from the December 21, 2021, Auction Advisory Board meeting.	A motion was made by Doerr-Berger and seconded by Newton to approve the Open Minutes as presented from the December 21, 2021 Auction Advisory Board meeting. The motion carried by roll call vote.				
	Roll Call Vote Taken: Jessica Doerr-Berger – yes					

	Kelly T. Frank – yes Gwendolene Newton – yes Carol Spracklen - yes Brian Swartz – yes	
	Thomas Walsh - yes	
Public Comments	There were no public comments.	
Licensing and Education Report	The 2022 Licensing Report for activity conducted in January was presented and discussed. A copy of the report is attached to and made part of these minutes. Jeremy Reed discussed the Auction License Report. Jeremy Reed explained the Springfield Division of Real Estate has gained a new employee and one of our staff is moving to a different agency. Mr. Reed said most of the staff continues working remotely from their homes due to the COVID – 19 Pandemic.	
Investigations Report	The 2022 Auction Investigations Report through the month of January was presented and distributed. A copy of the report is attached to and made a part of these minutes.	
Prosecutions Report	The 2022 Auction Prosecutions Report through the month of January was presented and distributed. A copy of the report is attached to and made part of these minutes. Geetu Naik, Chief of Prosecutions, explained there is one pending case and two cases closed in Prosecutions at this time. Ms. Naik was excited to introduce the two new prosecutors in DRE Prosecutions, Sheilah O'Grady Krajniak and Elliot Dubin.	
Formal Hearing Schedule	There were no formal hearings scheduled.	
Old Business	There was no old business to discuss.	

New Business:	Adrienne Levatino reminded the Board members that when the board meetings return to non-remote and the Governor has not issued a Disaster Proclamation, the Board members are required to be present at either the Springfield or Chicago office location in order to have a quorum. Adrienne Levatino explained participating by telephone will no longer be an option for Board members.	
Motion to go into Closed Session	Roll Call Vote taken: Jessica Doerr-Berger – yes Kelly T. Frank – yes Gwendolene Newton – yes Carol Spracklen - yes Brian Swartz – yes Thomas Walsh - yes	A motion was made by Swartz seconded by Doerr- Berger to go into Closed Session pursuant to Section 2 (c) (4) and (15) of the Open Meetings Act at 11:11 a.m. The motion carried by roll call vote.
Closed Session	The Board reviewed the December 21, 2021 Closed Minutes. There were no pending enforcement actions for the Board to review.	
Motion to go into Open Session	Roll Call Vote taken: Jessica Doerr-Berger – yes Kelly T. Frank – yes Gwendolene Newton – yes Carol Spracklen - yes Brian Swartz – yes Thomas Walsh - yes	A motion was made by Newton and seconded by Frank to go into Open Session at 11:12 a.m. The motion carried by roll call vote.
Approval of December 21, 2021 Closed Minutes	Roll Call Vote taken: Jessica Doerr-Berger – yes Kelly T. Frank – yes Gwendolene Newton – yes Carol Spracklen - yes Brian Swartz - yes Thomas Walsh - yes	A motion was made by Swartz and seconded by Doerr-Berger to approve the Auction Advisory Board Closed Minutes from the December 21, 2021 minutes. The motion carried by roll call vote.

Recommendations The Board signed no Findings of Fact, Conclusions of Law and Recommendations to the Director	There were no cases for the Board to deliberate during Closed Session.	
Orders	There were no Consent Orders for the Board to discuss in Closed Session. The Board received a report of the final actions by the Director of the following Consent Order previously signed by the Board: 2018-07663 Valerie Merkel and Midas Touch Estates	
Adjournment	Roll Call Vote taken: Jessica Doerr-Berger – yes Kelly T. Frank – yes Gwendolene Newton – yes Carol Spracklen - yes Brian Swartz - yes Thomas Walsh - yes The next meeting is scheduled for April 26, 2022.	There being no further business to discuss, a motion was made by Doerr - Berger and seconded by Spracklen to adjourn at 11:14 a.m. The motion carried by roll call vote.

Licensing Report

Prepared by Jeremy N Reed

- Filtered By

 Board = AUCTIONEER

 START DATE = 01/01/2022

 END DATE = 02/14/2022

2/14/2022 at 12:13:09 PM

Profession	ProfessionDescription	Sponsor_Chg	Applications	Initial_lic	Transitions	Renewals	Active_Licenses
440	Licensed Auctioneer	0	0	0	0	0	285
441	Licensed Auctioneer	0	3	7	0	0	718
444	Licensed Auction Firm	0	2	3	0	0	174
445	Licensed Auction CE School	0	0	0	0	1	5
446	Licensed Auction CE Course	0	4	5	0	10	46
	Totals	0	9	15	0	11	1,228

From: 1/1/2022 To: 1/31/2022

	110111. 1/1/2022 10: 1/01/2022					
		Pa	ass	Fa	Total	
		N	%	N	%	N
IL Auctioneer Examination	First Time	1	16.67	5	83.33	6
	Repeat	0	0.00	0	0.00	0
	Total	1	16.67	5	83.33	6

January 2022 Investigations Report

				AU Cases				New Assigned to	AU Cases	
	Pending/Op			Over 6	over 9	over 12	Over 24	Investigations AU	Referred to	AU Cases
Column1	en RE Cases	or less	months	months	months	months	months	Cases Received	Pros	Closed
January	44	4	3	6	10	7	14	4	0	0
February										
March										
April										
May										
June										
July										
August										
September										
October										
November										
December										
Total								4	0	0

AUCTION PROSECUTIONS REPORT 2022

January

	Pending Open Cases	Formal Complaints Filed	New Cases Rec'd / reopen		cases over 3 months	cases over 6 months			cases over 24 months		CLOSED Admin	CLOSED Admin Warn Letter			CLOSED: Consent Order	CLOSED : Non- Disc Order	IL Inc Tax	Child Support	Motion for Rehearing filed
JANUARY	1	0	0	0	1	0	0	0	0	2	1	0	0	0	1	0	0	0	0
FEBRUARY										0									
MARCH										0									
APRIL										0									
MAY										0									
JUNE										0									
JULY										0									
AUGUST										0									
SEPTEMBER										0									
OCTOBER										0									
NOVEMBER										0									
DECEMBER										0									
TOTAL		0	0							2	1	0	0	0	1	0	0	0	0