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JB PRITZKERMARIO TRETO, JR.LAURIE MURPHYGovernorSecretaryDirector

# ILLINOIS DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION (IDFPR) DIVISION OF REAL ESTATE (DRE)

#### **AUCTION ADVISORY BOARD**

## **OPEN MINUTES**

Date: February 28, 2023 Call to Order: 11:00 a.m. – Jessica Doerr-Berger Illinois Department of Financial and Professional Regulation (IDFPR)/Division of Real Estate (DRE) This meeting was held remotely via interactive webinar and/or Location: telephonically because the Governor of the State of Illinois has issued a disaster declaration related to public health concerns and an in-person meeting is not practical or prudent because of the disaster described in that declaration, and because the Secretary of the Illinois Department of Financial and Professional Regulation has determined pursuant to the provisions of Section 7 of the Open Meetings Act that an in-person meeting is not practical or prudent because of a disaster. **Board Members Present:** Jessica Doerr-Berger, Kelly Frank, Michael Fine, and Carol Spracklen, Thomas Walsh **Board Member Not Present:** Gwendolene Newton **Staff Members Present:** Laurie Murphy – Director of the Division of Real Estate, Adrienne Levatino - Associate General Counsel, Jeremy Reed -Chief of Licensing and Education, Geetu Naik – Chief of

> Prosecutions, Hector Rodriguez – Chief of Investigations, Jennifer Rossiter Moreno – Division of Real Estate Operations Manager, Debra Malinowski – Real Estate Administration and Disciplinary Board Liaison, Susan Sigourney – Auction Board

Liaison

TOPIC	DISCUSSION	ACTION
Call to Order	Jessica Doerr-Berger called the meeting to order and she read a mandatory statement regarding the Open Meetings Act.  This meeting is being conducted by audio or video conference without the physical presence of a quorum of the members because the Governor of the State of Illinois has issued a disaster declaration related to public health concerns and an in-person meeting is not practical or prudent because of the disaster described in that declaration. This meeting is further being conducted by audio or videoconference because the Secretary of the Illinois Department of Financial and Professional Regulation has determined pursuant to the provisions of Section 7 of the Open Meetings Act that an in-person meeting is not practical or prudent because of a disaster. All votes will be conducted by roll call, so each member's vote on each issue can be identified and recorded.  Attendance Taken:  Jessica Doerr-Berger – present  Michael Fine – present  Kelly Frank – present  Carol Spracklen – present  Thomas Walsh - present	The meeting was called to order at 11:00 a.m.
Review and Approval of Open Minutes:	The Board reviewed the Open Minutes from the December 20, 2022, Auction Advisory Board meeting.  Roll Call Vote Taken: Jessica Doerr-Berger - yes Michael Fine – abstain Kelly Frank - yes Carol Spracklen – yes Thomas Walsh - abstain	A motion was made by Spracklen and seconded by Frank to approve the Open Minutes as presented from the December 20, 2022, Auction Advisory Board meeting. A majority of a quorum voted in favor of approving the February 28,

		2023, Open Meeting Minutes.
<b>Public Comments</b>	There were no members of the public present.	
Comments from Director Laurie Murphy	Laurie Murphy, Director of the Division of Real Estate, mentioned that members of our Division of Real Estate team attended the Illinois State Auctioneers Assoction Conference in Bloomington, Illinois.  Director Murphy informed the Board that Kelly Frank will be retiring from the Auction Board. The Director thanked Kelly Frank for the dedication and time he has given to the Auction Board.	
Licensing and Education Report	The 2022 Licensing Report for activity conducted in January was presented and discussed. A copy of the report is attached to and made part of these minutes.  Mr. Reed mentioned the auction exam pass rate for the first couple months of 2023 is 55% for first time test takers.	
Investigations Report	The 2022 Auction Investigations Report through the month of January was presented and distributed. A copy of the report is attached to and made a part of these minutes.  Hector Rodriguez informed the Board a new investigator will be starting in Investigations soon.	
Prosecutions Report	The 2022 Auction Prosecutions Report through the month of January was presented and distributed. A copy of the report is attached to and made part of these minutes.	
Formal Hearing Schedule	There were no formal hearings scheduled.	

Old Business	Adrienne Levatino informed the Board that beginning in May, all of the IDFPR Boards including the Auction Board will meet inperson.  Ms. Levatino explained, pursuant the Open Meeting Minutes Act, telephonic participation of the Board members is not sufficient in order to establish a quorum or conduct business. Ms. Levatino informed the Board that they may attend either the Springfield office at 320 West Washington or the Chicago office at 555 West Monroe Street.	
New Business:	There was no New Business to discuss.	
Motion to go into Closed Session	Roll Call Vote taken: Jessica Doerr-Berger – yes Michael Fine - yes Kelly Frank - yes Carol Spracklen – yes Thomas Walsh - yes	A motion was made by Walsh seconded by Frank to go into Closed Session pursuant to Section 2 (c) (4) and (15) of the Open Meetings Act at 11:11 a.m. The motion carried by roll call vote.
Closed Session	The Board reviewed the December 20, 2022, Closed Minutes.  The Board deliberated on pending enforcement actions.	
Motion to go into Open Session	Roll Call Vote taken: Jessica Doerr-Berger – yes Michael Fine - yes Kelly Frank - yes Carol Spracklen – yes Thomas Walsh - yes	A motion was made by Frank and seconded by Fine to go into Open Session at 11:15 a.m. The motion carried by roll call vote.

Approval of December 20, 2022, Closed Minutes	Roll Call Vote taken: Jessica Doerr-Berger – yes Michael Fine - abstain Kelly Frank – yes Carol Spracklen – yes Thomas Walsh -abstain	A motion was made by Spracklen and seconded by Frank to approve the Auction Advisory Board Closed Minutes from the December 20, 2022, minutes. A majority of a quorum voted in favor of approving the December 20, 2022, Closed Meeting Minutes.
Ratify the Board's actions		A motion was made by Walsh and seconded by Fine to ratify the Board's actions taken in Closed Session which includes Jessica Doerr-Berger authorizing the Department to affix their electronic signature on the Consent Order presented in Closed Session.
Closed Minutes Remain Closed	Roll Call Vote taken: Jessica Doerr-Berger – yes Michael Fine - yes Kelly Frank – yes Carol Spracklen – yes Thomas Walsh - yes	A motion was made by Spracklen and seconded by Frank that all minutes of the Closed Sessions of the Appraisal Board remain closed
Recommendations		
The Board signed no Findings of Fact, Conclusions of Law and Recommendations to the Director	There were no cases for the Board to deliberate during Closed Session.	

Orders	One Consent Order was reviewed and discussed in Closed Session.  The Board received a report of the final action by the Director of the following Consent Order: 2022-05185 William Casner	The Board signed one Consent Order.
Adjournment	Roll Call Vote taken: Jessica Doerr-Berger – yes Michael Fine - yes Kelly Frank – yes Carol Spracklen – yes Thomas Walsh - yes  The next meeting is scheduled for April 25, 2023.	There being no further business to discuss, a motion was made by Walsh and seconded by Frank to adjourn at 11:18 a.m. The motion carried by roll call vote.

Prepared by Jeremy N Reed

## Filtered By

- Board = AUCTIONEER START DATE = 01/01/2023
- END DATE = 02/15/2023

#### 2/21/2023 at 12:18:12 PM

Profession	ProfessionDescription	Sponsor_Chg	Applications	Initial_lic	Transitions	Renewals	Active_Licenses
440	Licensed Auctioneer	0	0	0	0	29	287
441	Licensed Auctioneer	0	13	8	0	71	789
444	Licensed Auction Firm	2	2	3	0	20	198
445	Licensed Auction CE School	0	0	0	0	0	6
446	Licensed Auction CE Course	0	0	0	0	0	46
	Totals	2	15	11	0	120	1,326

#### Portion Statistics Cumulative

#### ILLINOIS REAL ESTATE

From: 1/1/2023 To: 2/15/2023

		Pa	iss	Fa	Total	
		N	%	N	%	N
. Auctioneer Examination	First Time	7	58.33	5	41.67	12
	Repeat	3	33.33	6	66.67	9
	Total	10	47.62	11	52.38	21



# January 2023 Investigations Report

Column1	Pending/Op en AU Cases	2 months	AU Cases Over 2 months	AU Cases Over 6 months	AU Cases over 9 months	AU Cases over 12 months	AU Cases Over 24 months	New Assigned to Investigations AU Cases Received	AU Cases Referred to Pros	AU Cases Closed
January	39	3	3	3	1	19	10	1	0	0
February										
March										
April										
May										
June										
July										
August										
September										
October										
November										
December										
Total								1	0	0

## **AUCTION PROSECUTIONS REPORT 2023**

## January

	Pending Open Cases	Formal Complaints Filed	New Cases Rec'd / reopen		cases over 2 months	cases over 6 months			cases over 24 months		CLOSED Admin	CLOSED Admin Warn Letter	Closed CE with memo		CLOSED: Consent Order	CLOSED: Non- Disc Order	IL Inc Tax	Child Support	Motion for Rehearing filed
JANUARY	4	0	0	1	1	1	0	1	0	0	0	0	0	0	0	0	0	0	0
FEBRUARY										0									
MARCH										0									
APRIL										0									
MAY										0									
JUNE										0									
JULY										0									
AUGUST										0									
SEPTEMBER										0									
OCTOBER										0									
NOVEMBER										0									
DECEMBER										0									
TOTAL		0	0							0	0	0	0	0	0	0	0	0	0